

**ANNE KESSELMAN GAUTHIER
CURICULUM VITAE**

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PROFESSIONAL EXPERIENCE

2005 to Present: Senior Policy Director of the Commission on a High Performance Health System, The Commonwealth Fund, Washington, D.C.

The Commission aims to move the U.S. toward a health care system that achieves better access, improved quality, and greater efficiency, with particular focus on the most vulnerable due to income, race/ethnicity, health, or age.

As senior policy director, oversees all Commission activities, including Commission meetings and site visits; leading Commission members in identifying policy changes and recommending concrete steps that would facilitate movement towards a high-performance system in the U.S.; preparing publications on key strategies for achieving a high-performance health system; overseeing a series of health policy briefings with the Alliance for Health Reform, an annual Congressional Staff Retreat and an annual Bipartisan Congressional Health Policy Conference; and presenting Commission-related work to appropriate public and private officials and the media. Supervises Program Officer, State Innovations program, which aims to improve state and national health system performance by supporting, stimulating, and spreading integrated, state-level strategies for expanding access to care and promoting high-quality, efficient care, particularly for vulnerable populations.

1989 to 2005: Vice President, AcademyHealth (previously Alpha Center), Washington, D.C.

Served as program director for The Robert Wood Johnson Foundation's Changes in Health Care Financing and Organization (HCFO) initiative, a \$67.5 million grant opportunity to provide public and private decision-makers with usable and timely information on health care policy, financing, and market developments, and to bring together the policy and research communities through significant convening, issues identification, research translation, and communication activities.

Served as co-principal investigator for the HSRProj program and as Vice President, overseeing information services for AcademyHealth. Served as co-Project Director for

Commonwealth Fund project on administrative simplification. Responsible for developing new AcademyHealth programs for research and policy syntheses as well as information services, and for overseeing these functions. Served as member of executive staff and lead staff for AcademyHealth Nominating Committee. Served as senior consultant to the Foundation's State Coverage Initiatives (SCI) program. Served as deputy director for the Foundation's State Initiatives in Health Care Financing Reform program, a \$25.5 million dollar program that assisted 20 states develop and implement policies aimed at increasing access to health services and coverage and controlling costs. Also provided technical assistance and direction as deputy director for a Foundation program that ended in 1991, the Health Care for the Uninsured Program.

1987 to 1989: Senior Researcher, National Leadership Commission on Health Care, Washington, D.C.

Directed research and analysis activities for two-year blue-ribbon private Commission charged with developing a system-wide public/private strategy to control rising costs; improve quality, appropriateness, and efficiency of care; and ensure universal access to a basic level of services. Drafted interim and final report sections; developed and monitored outside contracts; designed substantive workshops on topics under Commission consideration, which included preparing briefing papers, inviting experts, and drafting meeting summaries; assisted in Congressional testimony preparation; maintained current knowledge about relevant legislative and regulatory activities; assisted in proposal writing; and represented Commission at numerous individual and organizational meetings.

1982 to 1986: Project Director, Office of Technology Assessment, Congress of the United States, Washington, D.C.

Directed OTA's oversight/liaison activities concerning the Prospective Payment Assessment Commission, including two formal reports and Congressional briefings. Directed major project on "Medical Technology and Costs of the Medicare Program," which included 9 published reports in three years. Directed staff paper and workshop on "Research on Geographic Variations in Medical Practice". Provided staff support on "Evaluating Medicare's DRG Payment System." Coordinated specially-requested briefing on quality of care for Congressional staff. Represented OTA at many Congressional, Federal agency, private organization, and individual meetings.

1980 to 1982: Analyst, Office of Technology Assessment, Congress of the United States, Washington, D.C.

Key staffer on "Technology Transfer at the National Institutes of Health," a four-month project examining the activities and effectiveness of programs at NIH to evaluate and then transfer the results of biomedical research to health providers and policymakers. Key staffer on "Technology and Handicapped People," an 18-month project examining policies, technological processes, and resource allocation related to disabled and handicapped people. Concentrated on delivery, financing, and use of services.

1979 to 1980: Research Associate (Faculty), Georgetown University School of Medicine, Department of Community and Family Medicine, Washington, D.C.
1978 to 1980: Assistant Director, Community Hospital Program, The Robert Wood Johnson Foundation, Washington, D.C.

Under its Community Hospital Program (CHP), The Robert Wood Johnson Foundation awarded \$26 million to 53 community hospitals and their medical staffs to sponsor primary care group practices. Was responsible for managing 17 grants. Activities included coordinating and conducting site visits, providing technical assistance, reviewing and evaluating quarterly financial and management reports, and serving as ongoing liaison between grantees and Foundation and outside consultants. Additional responsibilities included developing and implementing special projects, such as two national meetings, articles for publication, CHP newsletter, etc.; staffing National Advisory Committee; and maintaining extensive CHP data system. Promoted from consultant/intern (June 1978 - December 1978) to Program Associate (March 1979 - February 1980) to assistant director (March 1980 - December 1980) in less than two years.

EDUCATION

M.S., Health Administration, University of Massachusetts (Amherst), School of Public Health, courses completed December 1978, thesis completed October 1979

A.B., Molecular Biology, Princeton University, June 1977

PUBLICATIONS

R. Nuzum, D. McCarthy, A. Gauthier, and C. Beck, Denver Health: A High-Performance Public Health Care System, The Commonwealth Fund, July 2007.

K. K. Shea, K. Davis, A. Gauthier, R. Nuzum, B. Scholl, and E. L. Schor, Health Care Opinion Leaders' Views on Priorities for the State Children's Health Insurance Program Reauthorization, The Commonwealth Fund, April 2007.

A. K. Gauthier, K. Davis, and S. C. Schoenbaum, Achieving a High Performance Health System: High Reliability Organizations Within a Broader Agenda, *Health Services Research*, August 2006 41(4p2):1710–20.

A. Gauthier, S. C. Schoenbaum, and I. Weinbaum, Toward a High Performance Health System for the United States, The Commonwealth Fund, March 2006.

A. Gauthier and M. Serber, A Need to Transform the U.S. Health Care System: Improving Access, Quality, and Efficiency, The Commonwealth Fund, October 2005.

A. K. Gauthier and C. M. Clancy, "Consumer Driven Health Care: Beyond Rhetoric with Research and Experience," Health Services Research, August 2004.

C. M. Clancy and A. K. Gauthier, guest editors, "Consumer Driven Health Care: Beyond Rhetoric with Research and Experience," Special Supplement to Health Services Research, August 2004.

J. S. Lee, R. A. Berenson, R. Mayes, and A. K. Gauthier, "Medicare Payment Policy: Does Cost Shifting Matter?" Health Affairs, October 8, 2003.

A. K. Gauthier, V. S. Gates, and W. D. Helms, "State Coverage Expansions: Learning From Research and Practice," Health Services Research, April 2002.

D. L. Rogal and A. K. Gauthier, "The Evolution of the Individual Insurance Market," Journal of Health Policy, Politics and Law, 25 (1): 3, February 2000.

A. B. Bernstein and A. K. Gauthier, "Choices in Health Care: What Are They and What Are They Worth?" Medical Care Review and Research 56 (Supplement 1): 5, Spring 1999.

A. B. Bernstein and A. K. Gauthier, "Defining Competition in Markets: Why and How?" Health Services Research 33 (5): 1421, December 1998, Part II.

D. L. Rogal and A. K. Gauthier, "Are Health-Based Payments a Feasible Tool for Addressing Risk Segmentation?" Inquiry 35 (2):115, Summer 1998.

A. K. Gauthier and S. P. Schrodell, "Expanding Children's Coverage: Lessons From State Initiatives in Health Care Reform." Washington, D.C.: Alpha Center, May 1997.

C. A. Ginsberg, A. K. Gauthier, contributing editor, K. L. Brodsky, contributing editor, "In Pursuit of Value: Innovative State/Medicaid Purchasing Strategies." Washington, D.C.: Alpha Center, March 1997.

W. D. Helms, A. K. Gauthier, D. J. Lipson, N. L. Barrand, and S. Schrodell, "A State Health Care Reform: Looking Back Toward the Future." Working Paper. Washington, D.C.: Alpha Center, September 1996.

A. K. Gauthier, J. A. Lamphere, and N. L. Barrand, "Risk Selection in the Health Care Market: A Workshop Overview." Inquiry 32(1):14, Spring, 1995.

D. R. Rogal, A. K. Gauthier, and N. L. Barrand, "Managing the Health Care System Under a Global Expenditure Limit." Inquiry 30(3):318, Fall, 1993.

- W. D. Helms, A. K. Gauthier, and D. M. Campion, "State Roles in Administering Health Care Reform." Working Paper. Washington, D.C.: Alpha Center, December 1992.
- A. K. Gauthier, D. R. Rogal, N. L. Barrand, and A. B. Cohen, "Administrative Costs in the U.S. Health Care System: The Problem or the Solution?" Inquiry 29(3): 308, Fall 1992.
- W. D. Helms, A. K. Gauthier, and D. M. Campion, "Mending the Flaws of the Small-Group Market." Health Affairs 11(2):7, Summer 1992.
- W. D. Helms, W. N. Lindsay, A. K. Gauthier, and D. M. Campion, "Marketing Strategies and Methods to Influence the Sale of Group Health Insurance Products to Uninsured Small Businesses." Health Care Perspectives. Princeton, New Jersey: The Robert Wood Johnson Foundation, March 1992.
- A. K. Gauthier, W. D. Helms, D. M. Campion, and H. A. Huskamp, "Making Health Insurance More Affordable Through the Creative Use of Subsidies." Working Paper. Washington, D.C.: Alpha Center, May 1991.
- A. K. Burns, "Communications Technology for Disabled People: Third-Party Payment Policies as Financial Aids and Barriers." In K. Seelman and J. Harkins (eds.), Marketplace Problems in Communications Technology for Disabled People. Evanston, IL: The Annenberg Washington Program in Communications Policy of Northwestern University, 1987.
- C. J. Behney and A. K. Burns, "Development, Evaluation, and Use of Technology for Disabilities." In C. Tilquin (ed.), System Science in Health-Social Services For the Elderly and Disabled. Montreal, Canada: University of Montreal, October 1985.
- A. K. Burns, "OTA Finds ProPAC Work Progressing, Highlights Need to Involve Public." Business and Health 2(7): 52, June 1985.
- G. Ruby, H. D. Banta, and A. K. Burns, "Medicare Coverage, Medicare Costs, and Medical Technology." Journal of Health Politics, Policy and Law 10(1): 141, Spring 1985.
- A. K. Burns, "Assessing Technology Assessment." Business and Health 1(8): 28, July/August 1984.
- H. D. Banta, G. Ruby, and A. K. Burns, "Using Medicare to Contain Medicare Costs." In Committee on Ways and Means, Subcommittee on Health (eds.), Proceedings of the Conference on the Future of Medicare. Washington, D.C.: U.S. Government Printing Office, February 1984.

C. Behney, A. K. Burns, and H. D. Banta, "The City and Disability." In A. Greer and S. Greer (eds.), Cities and Sickness: Health Care in Urban America, 1983 Urban Affairs Annual Review, Volume 25. Beverly Hills, CA: Sage Publications, 1983.

H. D. Banta, A. K. Burns, and C. J. Behney, "Policy Implications of the Diffusion and Control of Medical Technology." In S. E. Berki (ed.), Annals of the American Academy of Political and Social Science: Health Care Policy in America, Volume 468. Beverly Hills, CA: Sage Publications, 1983.

J. Block, L. Brideau, A. K. Burns, and J. Lear, "Hospital-Sponsored Primary Care: The Community Hospital Program." The Journal of Ambulatory Care Management 3:1, February 1980.

A. K. Burns, "Change in Hospitals Applying to the RWJF Community Hospital Program: The Study of An Innovation." Master's thesis, October 1979.

Report by the National Leadership Commission

National Leadership Commission on Health Care. For the Health of a Nation: A Shared Responsibility. Ann Arbor, MI: Health Administration Press Perspectives, 1989. (As Senior Researcher).

Reports by the Health Program, Office of Technology Assessment (U.S. Congress)

U.S. Congress. Office of Technology Assessment. Research on Geographic Variations in Physician Practice Patterns: A Staff Paper. Washington, D.C.: Office of Technology Assessment, February 1987. (As project director).

U.S. Congress. Office of Technology Assessment. Second Report on the Prospective Payment Assessment Commission (ProPAC). Washington, D.C.: Office of Technology Assessment, March 1986. (As project director).

U.S. Congress. Office of Technology Assessment. Medicare's Prospective Payment System: Strategies for Evaluating Cost, Quality, and Medical Technology. Washington, D.C.: U.S. Government Printing Office, October 1985. (As analyst).

U.S. Congress. Office of Technology Assessment. Health Technology Case Study 35: The Effectiveness and Costs of Continuous Ambulatory Peritoneal Dialysis (CAPD). Washington, D.C.: U.S. Government Printing Office, September 1985. (As project director).

U.S. Congress. Office of Technology Assessment. Health Technology Case Study 34: The Cost Effectiveness of Digital Subtraction Angiography in the Diagnosis of Cerebrovascular Disease. Washington, D.C.: Government Printing Office, May 1985. (As project director).

U.S. Congress. Office of Technology Assessment. First Report on the Prospective Payment Assessment Commission (ProPAC). Washington, D.C.: Office of Technology Assessment, March 1985. (As project director).

U.S. Congress. Office of Technology Assessment. Health Technology Case Study 28: Intensive Care Units (ICUs): Clinical Outcomes, Costs, and Decisionmaking. Washington, D.C.: U.S. Government Printing Office, November 1984. (As project director).

U.S. Congress. Office of Technology Assessment. Medical Technology and Costs of the Medicare Program. Washington, D.C.: U.S. Government Printing Office, July 1984. (As project director).

U.S. Congress. Office of Technology Assessment. Health Technology Case Study 24: Variations in Hospital Length of Stay: Their Relationship to Health Outcomes. Washington, D.C.: U.S. Government Printing Office, August 1983. (As project director).

U.S. Congress. Office of Technology Assessment. Diagnosis Related Groups (DRGs) and the Medicare Program: Implications for Medical Technology. Washington, D.C.: U.S. Government Printing Office, July 1983. (As project director).

U.S. Congress. Office of Technology Assessment. Health Technology Case Study 23: The Safety, Efficacy, and Cost Effectiveness of Therapeutic Apheresis. Washington, D.C.: U.S. Government Printing Office, July 1983. (As project director).

U.S. Congress. Office of Technology Assessment. Health Technology Case Study 22: The Effectiveness and Costs of Alcoholism Treatment. Washington, D.C.: U.S. Government Printing Office, March 1983. (As project director).

U.S. Congress. Office of Technology Assessment. Health Technology Case Study 25: Technology and Learning Disabilities. Washington, D.C.: U.S. Government Printing Office, December 1983. (As staff).

U.S. Congress. Office of Technology Assessment. Health Technology Case Study 26: Assistive Devices for Severe Speech Impairments. Washington, D.C.: U.S. Government Printing Office, December 1983. (As staff).

U.S. Congress. Office of Technology Assessment. Technology and Handicapped People. Washington, D.C.: U.S. Government Printing Office, May 1982. (As analyst). Also available in cassette form, and its summary booklet in print Braille, from the Library of Congress. Was reprinted by Springer Publishing Company.

Background Papers from the Technology and Handicapped People project, available from the U.S. Government Printing Office:

Background Paper #1: Mandatory Passive Restraint Systems in Automobiles: Issues and Evidence, September 1982. (As staff).

Background Paper #2: Selected Telecommunications Devices for Hearing-Impaired Persons, December 1982. (As staff).

U.S. Congress. Office of Technology Assessment. Technology Transfer at the National Institutes of Health. Washington, D.C.: U.S. Government Printing Office, March 1982. (As analyst).

U.S. Congress. Office of Technology Assessment. Status Report of the Project on Technology and Handicapped People. Washington, D.C.: Office of Technology Assessment, June 1981. (As analyst).

PROFESSIONAL MEMBERSHIPS

Member, National Advisory Committee, Center for Health Care Strategies, 2005 to present.

Member, Editorial Board, *American Journal of Managed Care*, 2002 to present.
Frequent reviewer for peer reviewed journals such as *Health Affairs* and *Health Services Research*, 1985 to present.

Member, AcademyHealth (formerly Association for Health Services Research), 1987 to present.

Member, International Society For Technology Assessment in Health Care, 1985 to 1996.

Member, Grants Review Panel, Medicaid Extension Demonstrations, Health Care Financing Administration, 1990.

Member, Women and Health Roundtable, 1978 to 1988.

Member, American Public Health Association, Medical Care Section, 1978 to 1994.

Consultant, Sisters of Charity Health Care System, Houston, TX, 1985 - 1986.

Conferences Editor, *The Sorcerer's Apprentice*, an international newsletter concerned with issues of technology assessment, health policy, and resource allocation in both developed and developing nations, December 1982 to March 1984.

Member, End Stage Renal Disease Task Force, Technology Coordinating Committee, 1982 - 1983.