

### The Commonwealth Fund 2013 Survey of Federally Qualified Health Centers

**INSTRUCTIONS:** Thank you for participating in The Commonwealth Fund 2013 Survey of Federally Qualified Health Centers (FQHCs). The purpose of this survey is to better understand the factors that facilitate and hinder quality improvement initiatives among FQHCs, particularly the establishment of medical homes. Your responses to this survey are **completely confidential** – any information you provide will be held in the strictest confidence.

Please read each question carefully. Using a blue or black pen, mark the box next to the appropriate response as indicated. It should take you about 15 minutes to complete the survey. Once you have completed the survey, please return it in the enclosed postage-paid envelope.

If you prefer, you can complete this survey online:

Please visit <u>www.commonwealthfundfqhc.org</u> and enter the following pass code: XXXX.

If you have any questions about the survey, please call Linda Lomelino at 1-800-633-1986, Ext. 4310 or email <u>llomelino@ssrs.com</u>.

### SECTION A: QUALITY IMPROVEMENT

### 1. Does your <u>health center organization</u> participate in any of the following <u>Quality Improvement (QI)</u> activities?

		Yes	No
a.	Setting goals based on measurement results		
b.	Taking action to improve performance of individual physicians		
C.	Taking action to improve performance of the health center organization as a whole		

2. Does your <u>health center organization</u> have support for the following <u>Quality Improvement (QI)</u> activities? (If yes, does it have enough support?)

		Yes, and has enough	Yes, but needs more	No
a.	Dedicated staff to lead QI activities			
b.	Information systems to provide timely data and feedback to staff on QI activities			
c.	Financial support for QI activities			
d.	Opportunities for staff training in QI			
e.	Opportunities for staff recognition for QI activities			
f.	Participation in learning communities and/or collaboratives			
g.	Access to practice facilitators or QI coaches for QI activities			

**3.** Which, if any, of the following performance data are collected and reported at your <u>health center organization</u>? (If these data are collected and reported, please indicate at what level.)

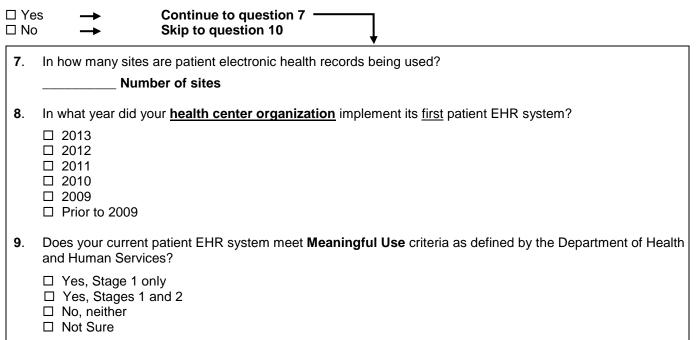
		<u>ONLY</u> at the health center level	<u>ONLY</u> at the provider level	BOTH at the health center and provider levels	Not collected or reported
a.	Clinical outcomes (e.g., percent of diabetic patients with good glycemic control)				
b.	Surveys of patient satisfaction and experiences with care				
C.	Surveys of clinician and/or staff satisfaction				

- 4. How frequently does your <u>health center organization</u> report clinical performance data to providers for quality improvement?
  - □ Weekly or more frequently
  - □ Monthly or every few weeks
  - Every few months
  - □ Yearly
  - □ My organization does not regularly report quality improvement data to providers
- 5. Is your <u>health center organization</u> currently participating in any of the following activities where the center and/or provider could receive financial incentives? (*Financial incentives include bonuses, higher fees, risk-related payments, or reimbursements.*)

		Yes, <u>ONLY</u> the center could receive incentives	Yes, <u>ONLY</u> the individual physician/ provider could receive incentives	Yes, <u>BOTH</u> the center and the individual physician/ provider could receive incentives	No
a.	High patient satisfaction ratings				
b.	Achieving certain clinical care targets (e.g., performance on HEDIS like measures)				
c.	Center participation in quality improvement activities				
d.	Managing patients with chronic disease or complex needs				

### SECTION B: PATIENT INFORMATION SYSTEMS

6. Do you currently use patient electronic health records (EHRs) throughout your health center organization?



### For the following questions, please think about the <u>largest site</u> in your health center organization. If you have only one site, please think of that site.

#### 10. Do you currently use any of the following technologies in your largest site?

		Yes, routinely	Yes, occasionally	No
a.	Electronic entry of clinical notes, including medical history and follow-up notes			
b.	Electronic ordering of laboratory tests			
c.	Electronic access to patients' laboratory test results			
d.	Electronic prescribing of medication			
e.	Electronic list of all medications taken by a patient ( <i>including those prescribed</i> by other doctors)			
f.	Electronic alerts or prompts about a potential problem with drug dose or drug interaction			

## 11. With the patient medical records system you **currently** have, how easy would it be for the staff in your <u>largest site</u> to **generate** the following information about the majority of your patients?

		Easy (<24 hours)	Somewhat Difficult (< 1 week)	Difficult (≥ 1 week)	Cannot generate
a. List of patient	s by diagnosis (e.g., diabetes or hypertension)				
b. List of patient	s by health risk <i>(e.g., smokers)</i>				
c. List of patient	s by lab result <i>(e.g., HbA1C&gt;9.0)</i>				
d. List of patient care (e.g., flu	s who are due or overdue for tests or preventive vaccine due)				
	s taking a specific medication (e.g., patients on s, or on a specific nonsteroidal anti-inflammatory	/ 🗆			
f. List of panel of	of patients by provider				

### 12. Can you generate any of the following information about the majority of your patients electronically?

		Yes	No
a.	List of patients by diagnosis (e.g., diabetes or hypertension)		
b.	List of patients by health risk (e.g., smokers)		
c.	List of patients by lab result (e.g., HbA1C>9.0)		
d.	List of patients who are due or overdue for tests or preventive care (e.g., flu vaccine due)		
e.	List of patients taking a specific medication (e.g., patients on ACE inhibitors, or on a specific nonsteroidal anti-inflammatory medication)		
f.	List of panel of patients by provider		

### 13. How often, if ever, are the following tasks performed at your *largest site*?

	Usually 75-100% of the time	Often 50–74% of the time	Sometimes 25–49% of the time	Rarely 1–24% of the time	Never
a. Patients are sent reminder notices when it is time for regular preventive or follow-up care (e.g., flu vaccine or HbA1C for diabetic patients)					
b. Provider receives an alert/prompt at point of care for appropriate care services needed by patients (e.g., pap smear or immunizations due)					
<ul> <li>Provider receives an alert or prompt to provide patients with test results</li> </ul>					
d. Laboratory tests ordered are tracked until results reach clinicians					
e. Patients are provided with clinical summaries after their visit					
f. Patients with limited English are provided with clinical summaries in their language					
g. Patient self-management goals are documented					

### 14. Are any of the following tasks completed electronically?

		Yes	No
a.	Patients are sent reminder notices when it is time for regular preventive or follow-up care (e.g., flu vaccine or HbA1C for diabetic patients)		
b.	Provider receives an alert/prompt at point of care for appropriate care services needed by patients (e.g., pap smear or immunizations due)		
c.	Provider receives an alert or prompt to provide patients with test results		
d.	Laboratory tests ordered are tracked until results reach clinicians		
e.	Patients are provided with clinical summaries after their visit		
f.	Patients with limited English are provided with clinical summaries in their language		
g.	Patient self-management goals are documented		

- **15.** Does your <u>largest site</u> share any patient health information **electronically** (not including email or fax) with **other providers**, including hospitals, health departments, or labs?
  - $\Box Yes \longrightarrow Continue to question 16.$
  - $\square$  No  $\rightarrow$  Skip to question 17.
- 16. Please indicate which types of health data your <u>largest site</u> shares electronically (not including email or fax) with:

			Yes	No
а		Lab results		
Hospitals in your area	b.	Imaging reports		
	c.	Medication lists		
	d.	Visit summaries		
	a.	Lab results		
	b.	Imaging reports		
within your health center organization	c.	Medication lists		
	d.	Visit summaries		
	a.	Lab results		
outside your health center organization	b.	Imaging reports		
	c.	Medication lists		
	d.	Visit summaries		

17. To what extent have you experienced the following as a barrier when using the EHR system at your largest site?

		Major barrier	Minor barrier	Not a barrier
a.	Annual cost of maintaining an EHR system			
b.	Usefulness of templates for population management			
c.	Adequacy of training for your staff			
d.	Loss of productivity during the transition to an EHR system			

#### 18. Does your largest site offer patients the option to ...?

		Yes	No
a.	View tests results online		
b.	Request appointments or referrals online		
c.	Incorporate patient generated/device data (e.g., blood glucose) online		
d.	Request refills for prescriptions online		

### SECTION C: ACCESS TO CARE & CARE COORDINATION

19. How often do patients at your largest site receive the following services, when they need them?

		Usually 75-100% of the time	Often 50–74% of the time	Sometimes 25–49% of the time	Rarely 1–24% of the time	Never
a.	Health education or training in self-management skills					
b.	Case managers who help coordinate patient care					
c.	Community health workers					
d.	Benefit counseling or insurance eligibility assistance					
e.	Translation services					
f.	Transportation services					
g.	Dental care					
h.	Mental or behavioral health care					

**20.** Please indicate if the following types of patient visits can be scheduled at your <u>largest site</u> during early morning, evening and/or weekend hours.

			Yes	No
Early Morning Hours (before 8:30 a.m.)	a.	Sick Visits / Urgent care		
	b.	Regular or well visits		
Evening Hours (after 6:00 p.m.)	a.	Sick Visits / Urgent care		
	b.	Regular or well visits		
Weekend Hours	a.	Sick Visits / Urgent care		
Weekena Hours	b.	Regular or well visits		

21. How often do you think patients experience the following at your largest site?

		Usually 75-100% of the time	Often 50–74% of the time	Sometimes 25–49% of the time	Rarely 1–24% of the time	Never
a.	Patients' appointments are scheduled with their personal clinician versus another clinician					
b.	Patients are able to receive a same or next-day appointment when they request one					
C.	Patients can get telephone advice on clinical issues during office hours					
d.	Patients can get telephone advice on clinical issues on weekends or after regular office hours					
e.	Patients can email providers about clinical issues					
f.	Staff identify and contact your high risk patients to assist with their care management needs					

22. Are there currently **shortages** (*i.e.*, *budgeted positions that are currently open*) of the following types of personnel in your <u>largest site</u>?

		Yes	No	Not Applicable
a.	Primary Care Physicians			
b.	Nurse Practitioners (including Certified Nurse Midwives)/Physician Assistants			
c.	Care Managers/Social Workers			
d.	Nurses (including RNs and LPNs)			
e.	Psychiatrists and other licensed mental health providers			
f.	Dentists			
g.	Pharmacy Personnel			
h.	Trained language medical interpreters			
i.	Benefit and insurance eligibility counselors			

#### 23. Does your largest site have any difficulty finding the following bilingual personnel?

		Yes	No	Not Applicable
a.	Primary Care Physicians			
b.	Nurse Practitioners (including Certified Nurse Midwives)/Physician Assistants			
C.	Care Managers/Social Workers			
d.	Nurses (including RNs and LPNs)			
e.	Benefit and insurance eligibility counselors			

24. Please indicate which members of your staff routinely perform the following tasks as part of their regular jobs at your <u>largest site</u>.

			Yes	No	Not Applicable
	a.	Physicians			
	b.	Advanced Practice Providers (e.g., NPs, PAs, CNMs)			
Call patients to check on	c.	Nurses (e.g., RNs, LPNs)			
medications, symptoms, or help coordinate care in between visits		Other Clinical Staff (e.g., MAs)			
		Non-Clinical Staff (e.g., outreach, enabling staff)			
		Community Health Workers			
	g.	Care coordinators/patient navigators			
	a.	Physicians			
	b.	Advanced Practice Providers (e.g., NPs, PAs, CNMs)			
Execute standing orders for	c.	Nurses (e.g., RNs, LPNs)			
Execute standing orders for medication refills or ordering tests	d.	Other Clinical Staff (e.g., MAs)			
	e.	Non-Clinical Staff (e.g., outreach, enabling staff)			
	f.	Community Health Workers			
	g.	Care coordinators/patient navigators			
	a.	Physicians			
	b.	Advanced Practice Providers (e.g., NPs, PAs, CNMs)			
	c.	Nurses (e.g., RNs, LPNs)			
Educate patients about managing their own care	d.	Other Clinical Staff (e.g., MAs)			
	e.	Non-Clinical Staff (e.g., outreach, enabling staff)			
	f.	Community Health Workers			
	g.	Care coordinators/patient navigators			
	a.	Physicians			
	b.	Advanced Practice Providers (e.g., NPs, PAs, CNMs)			
Coordinate care with providers	с.	Nurses (e.g., RNs, LPNs)			
outside of your center	d.	Other Clinical Staff (e.g., MAs)			
(e.g., scheduling subspecialty visits)	e.	Non-Clinical Staff (e.g., outreach, enabling staff)			
	f.	Community Health Workers			
	g.	Care coordinators/patient navigators			

25. Does your <u>largest site</u> have any of the following types of relationships with your local hospital(s)?

		Yes	No
a.	Hospital affiliation with referral of your patients for specialist or subspecialist care		
b.	Hospital affiliation with your physicians having admitting privileges		
с.	Hospital referral to your center's largest site (e.g., from ER or from newborn nursery)		
d.	Residency training site for hospital		
e.	Hospital support of your QI activities (i.e., financial and/or collaborative support)		
f.	Hospital support of IT adoption and use (i.e., financial and/or collaborative support)		

26. How difficult is it for your providers to obtain timely appointments for **office visits** with specialists or subspecialists outside your health center organization for patients with each of the following types of coverage? (*If more than one site, please think of your largest site*)

		Easy	Somewhat Difficult	Very Difficult	Not Applicable
a.	Uninsured patients				
b.	Medicare patients				
c.	Medicaid patients				
d.	Other privately insured patients				

# 27. How difficult is it for your providers to obtain **procedures** with specialists or subspecialists outside your health center organization for patients with each of the following types of coverage? (If more than one site, please think of your <u>largest site</u>)

	Easy	Somewhat Difficult	Very Difficult	Not Applicable
a. Uninsured patients				
b. Medicare patients				
c. Medicaid patients				
d. Other privately insured patients				

### 28. When patients are referred to specialists or subspecialists **outside your** <u>largest site</u>, how often does each of the following occur?

		Usually 75–100% of the time	Often 50–74% of the time	Sometimes 25–49% of the time	Rarely 1–24% of the time	Never
a.	The referring provider receives a report back from the specialist/subspecialist about care given to the patient					
b.	The report from the specialist/subspecialist is received by the center within 30 days					
C.	Your center tracks specialist/subspecialist referrals until the consultation report returns to the referring provider					

**29.** Thinking about the hospital to which patients at your <u>largest site</u> are most commonly admitted, if a patient is admitted to the hospital or emergency department how often does the following happen?

	Usually 75-100% of the time	Often 50–74% of the time	Sometimes 25–49% of the time	Rarely 1–24% of the time	Never
<ul> <li>Hospital notifies your center that a patient has been admitted</li> </ul>					
b. Hospital notifies your center within 24 hours that a patient has been discharged					
c. Emergency department notifies your center that your patient has had an Emergency Room visit					
<ul> <li>Your center receives a discharge summary or report from the hospital to which your patients are usually admitted</li> </ul>					
<ul> <li>e. Hospital involves your center in discharge planning or transition</li> </ul>					

- 30. How long does it usually take for a hospital discharge summary or report to arrive at your largest site?
  - □ Less than 48 hours
  - □ 2-4 days
  - □ 5-14 days
  - □ 15-30 days
  - □ More than 30 days
  - □ Site does not receive discharge summaries

### **31.** How often, if ever, are the following services available at your <u>largest site</u> for communicating with patients who do not speak English?

	Usually 75-100% of the time	Often 50–74% of the time	Sometimes 25–49% of the time	Rarely 1–24% of the time	Never
a. Bilingual clinical staff who provide translation					
b. Bilingual non-clinical staff (e.g., front desk staff) who translate for patients					
c. Trained interpreters available onsite within the center					
d. Telephone lines to access off-site interpreters					

### SECTION D: CURRENT & FUTURE PROGRAM PARTICIPATION

- **32.** Thinking about your <u>largest site</u>, is this site formally recognized as a Patient-Centered Medical Home (PCMH) by a national or state entity (*e.g., National Committee for Quality Assurance, URAC, or official state qualification standards*)?
  - □ Yes
  - $\Box$  No, but we plan to apply
  - $\hfill\square$  No, and we don't plan to apply
  - □ Not Sure
- 33. Do you receive any enhanced payment for serving as a Patient-Centered Medical Home at your largest site?
  - □ Yes
  - 🗆 No

### For the following questions, please think about your health center organization.

34. How supportive, if at all, is the Board of your <u>health center organization</u> to the following issues:

		Extremely supportive	Very supportive	Not at all supportive	Not sure
a.	Performance evaluation of the organization				
b.	Quality improvement for patient care				
C.	Participation in studies or research				

### **35.** Does your <u>health center organization</u> participate in any Center for Medicare and Medicaid Innovation (CMMI) initiatives (e.g., Advanced Primary Care Practice Demonstration or Innovation Challenge Grants)?

- $\Box$  Yes, we participate
- □ No, but we plan to participate
- $\hfill\square$  No, and we don't plan to participate
- Not Sure

- Does your health center organization participate in any Accountable Care Organization or similar arrangement by 36. which you may share savings with insurers (including private insurance, Medicare, Medicaid, and other public options)?
  - $\Box$  Yes, we participate
  - □ No, but we plan to participate

Skip to question 38 Skip to question 38

- $\Box$  No, we are unable to participate
- □ No, we are not interested in participating
- □ Not sure

**Continue to question 37** 

How important were the following factors in your decision not to participate in an ACO? 37.

		Very important	Somewhat important	Not at all important
a.	Lack of clear understanding of ACO proposal			
b.	Too many competing priorities at this time			
c.	Lack of start-up capital			
d.	Lack of time or personnel			
e.	Lack of confidence in ability to meet cost and/or quality benchmarks			
f.	Limited perceived financial and/or clinical benefit			
g.	Concerns about partnerships with hospitals and specialists in proposed network			
h.	Lack of available partners			

Has your health center organization applied or is it planning to apply to CMS's Meaningful Use of Health IT 38. Incentive Program?

 $\Box$  Yes, we already applied

 $\Box$  Yes, we plan to apply

□ Uncertain if we will apply

 $\Box$  No, we will not apply



**Continue to question 39** 

Skip to question 40

In which, if any, of the following years did your health center organization receive funding from CMS's Meaningful 39. **Use** Incentive Program?

	Received funding	Did not receive funding
In 2011		
In 2012		
In 2013		

#### 40. How, if at all, have the following changed at your health center organization in the past two years?

		Much Improved	Improved	About the Same	Worse	Much Worse	Don't know
a.	Clinical outcomes (e.g., percent of diabetic patients with good glycemic control)						
b.	Patient satisfaction and experiences with care						
с.	The center's ability to recruit and retain nurses						
d.	The center's ability to recruit and retain physicians						
e.	The center's ability to recruit and retain other staff (e.g., community health workers, case managers, benefit counselors)						
f.	Provider and staff satisfaction						
g.	Productivity (visits divided by providers)						

**41.** How is your <u>health center organization</u> preparing for Medicaid expansion and/or the creation of the new insurance marketplaces (exchanges) in 2014?

(If these changes are not currently being implemented, please indicate if you have any plans to implement them in the future.)

		Currently implementing	Plan to do within the next year	No plans to implement	Not Sure
a.	Hiring/training of staff to help patients apply for health insurance coverage, such as Medicaid, CHIP, Medicare				
b.	Hiring new administrative staff				
C.	Hiring new clinical staff including physicians and nurse practitioners				
d.	Hiring more medical assistants, community health workers to expand clinical care team				
e.	Investing in Telehealth or Telemonitoring systems				
f.	Expanding specialty care				
g.	Collaborating and/or sharing clinical services with others				
h.	Expanding and/or integrating behavioral health				

## **42.** What challenges do you anticipate for your <u>health center organization</u> with Medicaid expansion and/or the creation of the new insurance marketplaces (exchanges) in 2014?

		Major Problem	Minor Problem	Not a problem at all
a.	Staff retention			
b.	Physician shortages			
C.	Nurse practitioner and PA shortage			
d.	Retaining patients			
e.	Decreased Medicaid reimbursement rates			
f.	Large volume of patients			
g.	Maintaining quality of care			
h.	Maintaining improvements achieved through quality initiatives			
i.	Maintaining access to oral health care			

### SECTION E: CHARACTERISTICS OF PATIENT POPULATIONS AT YOUR LARGEST SITE

#### For the following, please base your responses on actual data from your largest site, if data are available.

- **43.** Please indicate the total number of patients at your <u>largest site</u>: \_\_\_\_\_\_\_(*This number should reflect the information you reported to UDS in your 2012 report submitted this February.*)
- 44. What percent of patients at your largest site have the following types of insurance?

Medicare	%
Medicaid/CHIP	%
Other Public Insurance	%
Private insurance	%
Self-pay	%
Other (Please specify:)	%

### 45. What percentage of the patients in your largest site are ...?

		Less than 10%	10% to less than 25%	25% to less than 50%	50% or more
a.	African American or Black				
b.	Hispanic or Latino				
с.	Asian				
d.	Native Hawaiian				
e.	Pacific Islander				
f.	American Indian/Alaskan Native				
g.	More than one race				
h.	Served in a language other than English				

### SECTION F: RESPONDENT INFORMATION

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### 46. What is your title?

- □ Executive Director
- □ Medical Director
- □ Chief Financial Officer
- □ Director of Nursing
- Other (please specify :\_\_\_\_\_\_

47. Who else, if anyone, did you consult with to complete this survey? (Select all that apply.)

- □ Executive Director
- Medical Director
- □ Chief Financial Officer
- □ Director of Nursing
- $\hfill\square$  Other staff
- $\hfill\square$  No one, I completed it independently

#### If your health center organization serves more than one site, please answer the following for your largest site.

For data analysis purposes, it is important to know exactly where your largest site is located. All data collected in the survey will be completely confidential and will never identify you and/or your facility individually.

County \_\_\_\_\_ Address: \_\_\_\_\_ State: Zip Code:

### This is the end of the survey. Thank you, we greatly appreciate your time and cooperation!

Please place the completed survey in the prepaid return envelope and mail it back to the address on the envelope:

SSRS 53 West Baltimore Pike Media, PA 19063

If you have misplaced the return envelope, please call 1-800-633-1986 for a replacement.